**College & Central Research Office**

**Eligibility Assessment Form

ARC Industry Fellowships - 2023

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| **Both you as the candidate, and the University are responsible for certifying that your application is eligible.**  All ARC **Industry** Fellowships applicants **must** complete this *Eligibility Assessment Form* and submit to your College Research Office via the appropriate email address listed at Q6 by **9am** Tuesday September 26th |

1. **Applicant Details**

|  |  |
| --- | --- |
| **Name** |  |
| **School** |  |
| **Contact No.** |  |
| **Application ID** |  |
| **Email** (external applicants only) |  |

1. **PhD Conferral (Award) Date**

*Please provide details of all PhDs (if applicant holds more than one).*

PhD Conferral Date as indicated on your award letter or academic transcript.

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1. **Scheme Eligibility**

*Please select the scheme you intend to apply for.*

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| --- | --- | --- | --- |
|  | **Scheme** | **Years FTE research experience** | **PhD award date** |
|  | Early Career | *Less than 5 years* | After 1 November 2018 |
|  | Mid- Career | *Between 5 and 15 years* | Between 16 August 2018 and 16 August 2008 |
|  | Industry Laureate | *Greater than 15 years* | Before 17 October 2008 |

**If your PhD falls outside the date range for the scheme that you have selected, please complete Questions 4 and 5. If not, please continue to the Certification (Questions 6).**

1. **Summary justification of eligibility due to career interruption and opportunity to undertake research**

If your PhD falls outside the dates for the selected scheme in Question 3, please provide a *brief* summary and justification for your eligibility for the selected scheme.

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1. **FTE research and career Interruptions**

Please list all periods of non-research after obtaining your PhD which should be considered when assessing your eligibility to apply for the nominated Industry Fellowship scheme indicated in Question 3. Please refer to Appendix 1 for examples of career interruptions.

**Update for 2024 round**

Last year the ARC published guidance in the IM23 FAQ document stating that applicants should take into account the amount of time spent in research-based employment and provided an example of a person on a 40% teaching, 40% research, 20% service contract calculating their research employment time on a percentage basis of FTE (i.e., Applicant C, as of 20 October 2022 has been working on a 40% Teaching; 40% Research and 20% service contract for 20 years. This researcher has 8 years FTE (40% of 20 years) research which places the applicant within the 5 to 15 years requirement.). This example has been removed from the FAQs released in the most recent round and replaced with the following wording:

*When determining whether the candidate should apply for an Early Career Industry Fellowship as opposed to Mid-Career Industry Fellowship, they should take into consideration other factors in addition to their research experience measured in FTE, such as their career stage, salary level, academic ranking or professional status, national and/or international research standing, postgraduate supervision and/or management experience, and proposed research project. As a result, whilst a candidate with less than 5 years of research experience measured by FTE might be eligible to apply for an Early Career Industry Fellowship, applying for a Mid-Career Fellowship might be more suitable to their career position.*

The ARC has not provided additional clarification. We have therefore left the option for you to calculate FTE using the 40:40:20 model in the below Table. However, we ask that you consider your career stage, experience, and professional status when justifying your eligibility for a particular level at Question 4.

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| --- | --- | --- | --- | --- | --- | --- |
| **Career Interruption Type** | **Start and Finish Date** (day/month/year – day/month/year) | **Total period**  (in months) | **Total**  **FTE during period** | **FTE research during period** | **Total research period**  **(months)** | **Total interruption**  **(months)** |
| *Eg. full-time academic on 40:40:20 res:teach:admin model* | 01/01/2016 to 31/12/2018 | 36 months | 1.0 | 0.4 | 14.4 months  (ie 36 x 0.4) | 21.6 months  (ie 36 x 0.6) |
| *Eg. maternity leave full time* | 1/1/2013 to 31/12/2014 | 24 months | 0.0 | 0.0 | 0.0 | 24 months |
| *Eg. Part time 0.6*  *on 40:40:20 (following mat leave)* | 1/1/2015 to 31/12/2015 | 24 months | 0.6 | 0.24 | 0.36 | 24 months |
|  |  |  |  |  |  |  |
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|  |  |  |  |  |  |  |
| **Total months of non-research after achieving PhD:** | | | | |  |  |

(Add more rows as required)

1. **Candidate Certification**

***I certify that:***

1. all the details provided above are accurate and complete
2. proper inquiries have been made and I am satisfied that I meet the eligibility criteria as specified in the Industry Fellowships Program Grant Guidelines and relevant ARC FAQs.

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| --- | --- | --- |
| Name of First-named Chief Investigator | Signature | Date |
|  |  |  |

***Please submit your signed form to the relevant college email address below by 9am Tuesday 26 September 2023****.*

[CESE-Research@newcastle.edu.au](mailto:CESE-Research@newcastle.edu.au)

[CHMW-ResearchAndInnovation@newcastle.edu.au](mailto:CHMW-ResearchAndInnovation@newcastle.edu.au)

[CHSF-Research@newcastle.edu.au](mailto:CHSF-Research@newcastle.edu.au)

1. **College Certification:**

*Assistant Dean (Research) Certification:*

***I certify that:***

1. On the information provided in this form, I agree to the candidate applying to the scheme selected in question 3.

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| --- | --- | --- |
| Name of Assistant Dean (Research) | Signature | Date |
|  |  |  |

Appendix 1: Extracts from the Industry Mid-Career Fellowships commencing 2024 FAQs Version 1.1- Release Date: 12 July 2023 and Early-Career Fellowships Commencing 2024 FAQs Version 1 Release date: 6 September 2023

**What are allowable Career Interruptions?**

The ARC’s Research Opportunity and Performance Statement available on the [ARC website](https://www.arc.gov.au/about-arc/program-policies/research-opportunity-and-performance-evidence-rope-statement) provides a list of career interruptions that can be included, but are not limited to:

* unemployment
* non-research employment
* limited or no access to facilities and resources—such as through workplace interruptions
* disaster management and recovery
* misadventure
* medical conditions
* disability
* caring and parental responsibilities, and
* community obligations, including Aboriginal and/or Torres Strait Islander cultural practices and protocols.

In-depth details of the applicant’s career interruptions are not required within the application form, instead applicants should provide the relevant Full-Time Equivalent of research time, dates of the interruption and the nature of the interruption.

**How do I calculate if I am eligible to apply regarding the requirement of less than 5 years versus 5 to 15 years industry and/or academic research experience?**

No Career Interruptions Example Mid-career:

Applicant A, as 20 October 2022 has 7 years FTE research experience and is eligible to apply as the applicant is within the 5 to 15 years requirement.

No Career Interruptions Example Early-Career:

Candidate A, as of 3 November 2022, has 3 years research experience and may apply as the candidate is within the 5 or less years’ timeframe*.*

Career Interruptions Example Mid-career:

Applicant B, as of 20 October 2022 has 17 years research experience that includes a combined total of 3 years allowable career interruptions during this 17-year period. The applicant is eligible to apply as the total research experience, minus the total career interruptions (14 years), places the applicant within the 5 to 15 years requirement.

Career Interruptions Example Early-Career:

Candidate B, as of 3 November 2022, has 7 years research experience that includes a combined total of 3 years allowable career interruptions during this 7-year period. The candidate may apply as the total research experience, minus the total career interruptions (which equals 4 years), places the candidate within the 5 or less years’ timeframe*.*

0.3 FTE Example Mid-Career:

Candidate C, as of 10 October 2022 has been working in an industry setting for 20 years with only limited research opportunities (for example, some years their role involved no research work) that averages to 0.3 FTE. The candidate may apply as the total research experience measured by FTE is 6 years (30% of 20 years) and places the candidate within the 5 to 15 years’ timeframe.

0.3 FTE Example Early-Career:

Candidate C, as of 3 November 2022, has been working in an industry setting for 10 years with only limited research opportunities (for example, some years their role involved no research work) that averages to 0.3 FTE. The candidate may apply as the total research experience measured by FTE is 3 years (30% of 10 years) and places the 5 or less years’ timeframe*.*