

Structure of Joint/Dual Doctoral Degree options (shaded rows indicate differences between award types).

Exceptions to any of the conditions below may be considered by the Dean of Graduate Research.

	Jointly Awarded	Dual Award
Agreements and Development of programs	Jointly Awarded programs will require an overarching institutional agreement and will be established with institutions of high standing (top 3%). There will be an individual Candidate Agreement for each candidate undertaking a Jointly Awarded program.	Dual Award programs will be established through existing researcher collaborations. These programs will also allow a joint programs to be established with institutions which cannot offer a Jointly Awarded program. There will be an individual Candidate Agreement for each candidate undertaking a Dual Award program.
Application	Applications must be lodged within the first 3 months of candidature. Candidates would need to meet all enrolment criteria for University of Newcastle admission.	Applications must be lodged within the first 3 months of candidature. Candidates would need to meet all enrolment criteria for University of Newcastle admission.
Enrolment	Concurrent enrolment at the University Newcastle & partner institution for duration of candidature. One institution would be named as the lead institution for each candidate. The lead has responsibility for degree administration and examination process.	Concurrent enrolment at the University of Newcastle & partner institution for the duration of candidature
Fees and Scholarships	A University of Newcastle tuition fee scholarship would be offered for the duration of candidature as detailed in the Institutional Agreement. Candidates would be eligible for consideration for a 50:50 Scholarship. The scholarship stipend would be only for the duration of the candidature that the candidate is based at the University of Newcastle.	Fees payable to each institution are determined at time of establishment of the Dual Award agreement. Incoming students awarded a University of Newcastle Dual Award scholarship may also be awarded a tuition fee scholarship, subject to approvals. If awarded a University of Newcastle Dual Award scholarship, the scholarship stipend is only for the duration of the candidature that the candidate is based at the University of Newcastle.
Duration and Structure	Must be consistent with the AQF Level 10 specifications and comprise a research-only degree of 2 to 4 EFTS duration. Any required coursework/skills training to be specified by Institutional Agreement. Candidates required to spend a minimum of 12 months based at each of the partner institutions.	Must be consistent with the AQF Level 10 specifications and comprise a research-only degree of 2 to 4 EFTS duration. Any required coursework/skills training to be specified by Candidate Agreement. Candidates required to spend a minimum of 12 months based at each of the partner institutions.
Supervision	At least one supervisor at each institution who is actively engaged in supervision for the duration of student candidature (regardless of their location).	At least one supervisor at each institution who is actively engaged in supervision for the duration of student candidature (regardless of their location).
Confirmation	Where Newcastle is the lead institution, candidates will complete the Newcastle confirmation process. If not the lead, then the	Candidates must complete either Newcastle confirmation process or equivalent at the partner institution. If the partner institution

	the confirmation process of the partner institution may be followed, with involvement of the Newcastle supervisor(s), and Newcastle notified of the outcome. If the partner institution has no formal confirmation process the candidate must complete the University of Newcastle process.	has no formal confirmation process the candidate must complete the University of Newcastle process.
Leave of Absence	Any request for leave of absence would be considered by both institutions and approved only if both are in agreement that the leave is justified and will not compromise the research project or the availability of institutional resources.	Any request for leave of absence would be considered by both institutions and approved only if both are in agreement that the leave is justified and will not compromise the research project or the availability of institutional resources.
Ethics Approval	Where the student's research requires approval from ethics (or other) bodies' approval should be sought from the institution where the research is to be conducted. Before commencing the research, the approval from this institution must be endorsed by the partner institution.	Where the student's research requires approval from ethics (or other) bodies' approval should be sought from the institution where the research is to be conducted. Before commencing the research, the approval from this institution must be endorsed by the partner institution.
Data access, storage and sharing	Agreement must be reached and documented as to who will have access to any raw and processed data during and subsequent to candidature. Agreement must be reached and documented as to how data will be securely stored during and subsequent to candidature.	Agreement must be reached and documented as to who will have access to any raw and processed data during and subsequent to candidature. Agreement must be reached and documented as to how data will be securely stored during and subsequent to candidature.
Intellectual Property (IP)	Background IP will continue to be owned by the originator of that IP. Ownership of any newly created IP would be determined through consultation with the partner institutions and specified on the Candidate Agreement.	Background IP will continue to be owned by the originator of that IP. Ownership of any newly created IP would be determined through consultation with the partner institutions and specified on the Candidate Agreement.
Health and Safety	The candidate must be inducted into, and comply with, relevant health and safety regulations at each institution.	The candidate must be inducted into, and comply with, relevant health and safety regulations at each institution.
Insurance	It is the candidate's responsibility to ensure adequate medical and personal insurance cover in each country and for the duration of travel between. Joint Award scholarship includes a contribution toward such insurance. The student can decide whether to have University of Newcastle arrange cover or arrange themselves.	It is the candidate's responsibility to ensure adequate medical and personal insurance cover in each country and for the duration of travel between. The Dual Award scholarship includes a contribution toward such insurance. The student can decide if the University of Newcastle to arrange cover or they arrange themselves.
Progress Reporting	The Lead institution would be responsible for monitoring progress. If the University of Newcastle is the lead institution, the progress reports would be endorsed by supervisors at both institutions. If partner institution is the	University of Newcastle progress reporting procedures must be followed - progress reports would be endorsed by supervisors at both institutions. Compliance with any additional requirements from the other

	lead then progress documentation would be copied to the University of Newcastle.	institution would be the responsibility of the candidate.
Withdrawal or Termination of Candidature	<p>Termination from the degree for reasons of unsatisfactory progress and/or misconduct means termination of enrolment at both institutions.</p> <p>A candidature may withdraw from the Joint Award. Withdrawal from candidature would result in the termination of any University of Newcastle scholarship.</p> <p>After withdrawal or termination a candidate may request to enrol and complete their degree solely at one of the two partner institutions. Such enrolment would be considered anew and any degree subsequently awarded would only be from the one institution.</p>	<p>Termination from the degree for reasons of unsatisfactory progress and/or misconduct means termination of enrolment at both institutions.</p> <p>A candidature may withdraw from the Dual Award. Withdrawal from candidature would result in the termination of any University of Newcastle scholarship.</p> <p>After withdrawal or termination a candidate may request to enrol and complete their degree solely at one of the two partner institutions. Such enrolment would be considered anew and any degree subsequently awarded would only be from the one institution.</p>
Thesis language	English	English
Examination	<p>Single thesis submitted to both institutions. Examination process will be established by the Institutional Agreement. The process may differ depending on which institution is designated lead. The candidate will be informed at the time of enrolment as to the examination process to be used.</p> <p>There is no option for the award of a PhD by only one institution, after thesis submission.</p>	<p>Single thesis submitted to both institutions. A single examination process will take place with the format determined as part of the establishment of the Dual Award Agreement (some possible options are listed below). The examination process to be used will be part of the Candidate Agreement for the Dual Award.</p> <p>There is no option for the award of a PhD by only one institution, after thesis submission.</p>
Testamur and Graduation	<p>One testamur awarded that contains the seals and delegated signatures from each institution.</p> <p>Candidate is eligible to attend one graduation ceremony – if attendance at graduation is mandatory for the partner institution the candidate will be informed at the time of enrolment. The candidate will be responsible for costs associated with attending mandatory graduation.</p> <p>A copy of the final thesis will be provided to each institution.</p>	<p>Two testamurs will be awarded – one from each institution. Each will have a single seal but including the following words: “conferred as a single degree under a Dual Award Doctoral Degree program between the University of Newcastle and xxxxx”.</p> <p>Candidate is eligible to attend two graduation ceremonies. If attendance at graduation is mandatory for the partner institution the candidate will be informed at the time of enrolment. The candidate will be responsible for costs associated with attending mandatory graduation.</p> <p>A copy of the final thesis will be provided to each institution.</p>

Examination Options for Dual Award:

1. Candidates complete the standard University of Newcastle examination process, coordinated by the University, with the partner institution informed at each stage of progress. Supervisors from each institution would be responsible for jointly recommending examiners. Successful completion of The University of Newcastle examination process results in award of degree by both institutions.

2. A specific examination process to be established at the time of the agreement being established. Required features within the examination process are:
 - a. At least 2 external independent and internationally recognised examiners for the thesis, jointly nominated and agreeable to supervisors from each institution.
 - b. One institution would be responsible for coordinating the examination process and liaising with the other institution.
 - c. Candidates could not be invited to revise and resubmit more than once.Acceptable optional features (if required by the other institution):
 - a. Involvement of internal examiners provided that there were still at least 2 external independent examiners for the thesis.
3. Completion of an oral examination. If either institution requires the candidate to participate in an oral examination, the associated costs are at the expense of that institution.
4. Only under exceptional circumstances would permission be granted to have candidates go through separate examination processes at each university. Such circumstances would be if no agreement on a single examination process can be reached but the Dual Award is otherwise considered to be worthwhile.
 - a. Under these circumstances agreement over the final award of the degree would be determined prior to a student receiving any testamurs. If one institution wishes to award a PhD degree but the other does not then mention of the partner institution should *not* be included on any testamur.

