University of Newcastle

Chancellor’s Award for Research Innovation

APPLICATION FORM

SUBMISSION GUIDELINES

Closing Date: Friday 6 October 2017

Purpose:

The Chancellor’s Award for Innovation provides $10,000 to a University of Newcastle researcher or team who has made a discovery or innovation which leads to, or potentially leads to, a commercial outcome. The award funds are to be used towards commercialisation of this discovery/innovation, whether it be a new process or product. The research does not need to be patented and the project can be at any stage of the development pathway. Newcastle Innovation (NI) will provide mentoring and aid with intellectual property protection in addition to the grant, should it be required.

Submission requirements:

1 x Electronic Copy: A PDF version of the completed application (with signatures) sent via email to: innovation@newcastle.edu.au

NB: PLEASE CARBON COPY (CC) ALL CO-INVESTIGATORS ON YOUR EMAIL SUBMISSION

A HARD COPY IS NOT REQUIRED

Contact Details:

Newcastle Innovation
Phone: 4921 8777
Email: innovation@newcastle.edu.au

Assessment:

Submissions will be reviewed by a panel comprised of the Pro Vice Chancellor Industry Engagement and Innovation and members of the Business Development Team at Newcastle Innovation. A shortlist of leading nominations will be forwarded to the UON Chancellor for final consideration.

Funding Conditions:

The Chancellor’s Award for Innovation will be announced at the annual UON Innovation Awards in November 2017. Should you be shortlisted you will receive notification and an invitation for two members of the research...
team to attend the Awards. You or a team member will be required to attend the Awards to accept your award should you be successful and you will be invited to say a few words of thanks.

The Award grant is subject to the following conditions:

- Unless written approval to the contrary is obtained from the Pro Vice Chancellor Industry Engagement and Innovation, all funds must be expended by December 31, 2018. Unspent grant funding will revert to the granting body and reallocated to other projects.
- Funding may be withdrawn and returned to the funding pool at the discretion of the Pro Vice Chancellor Industry Engagement and Innovation if ethics / safety approval is not obtained within six (6) months of receipt of this award.
- UON is to be acknowledged by name on all publications arising from this research.

Application text specifications:

1. The level of detail is restricted to the word limit specified in each relevant section.
2. Please ensure lay terms are used in the sections indicated – these are utilised for marketing purposes. Applications may be returned if the requested lay summary is not suitable.
3. All applications and related documentation must be submitted in 11pt Arial font.
4. All applications must be submitted with single line spacing.
5. Applications are to be submitted in black text. Coloured text may be used for images, graphs and logos.
6. PDF versions must be of a good quality and able to be read clearly by assessors.
7. Applications that are incomplete and/or do not meet the eligibility criteria below will not be considered.

Eligibility Criteria

1. You must be a University of Newcastle affiliated researcher.
2. Research of excellence in any field of research.
3. Project grant applicants must hold a PhD or equivalent research/clinical qualification such as a fellowship in a clinical college. All equivalent research/clinical qualifications will be considered on a case by case basis.
4. The award will be granted to a researcher or team who has made a discovery or innovation leading to or potentially leading to a commercial outcome and is to be used for this purpose.
5. The project can be at any stage of the development pathway.
6. The research does not need to be patent protected at this stage. NI will evaluate Intellectual Property (IP) outcomes and assist in the IP Strategy in addition to the grant if required.
7. The researcher/team must be willing to commercialise the outcomes and to work with Newcastle Innovation to achieve set objectives.
The University of Newcastle

CHANCELLOR’S AWARD FOR RESEARCH INNOVATION

1x $10,000 grant available

GRANT APPLICATION FORM

(This should be the first page of your application)

1. Project Title, Contact Information

<table>
<thead>
<tr>
<th>Project Title</th>
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<tr>
<th>Lay Title (max 20 words)</th>
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<td>If project is successful, this title will be used in marketing material.</td>
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<tr>
<th>CI 1.</th>
<th>CI 2.</th>
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<tbody>
<tr>
<td>a) Title, first name and surname:</td>
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<td>b) Name and address of Institution where currently employed:</td>
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<td>c) Contact Details</td>
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<td>Department/School:</td>
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<td>Telephone Number:</td>
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<td>Email:</td>
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<td>d) Appointment held:</td>
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<td>e) Academic Qualifications:</td>
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<td>f) UON Research Program</td>
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<td>CI belongs to:</td>
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<td>g) Career Stage</td>
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<td>(Senior, MCR, ECR)</td>
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<td>h) If you are an Early Career</td>
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</table>
**Researcher, specify year PhD awarded and attach evidence:**

NB: Add extra CIs as required by copying and pasting table

**IMPORTANT NOTE** - Please attach short Track Record for all Chief Investigators consisting of:

1. A one page statement of justification of track record with a selection of their 5 best Publications.
2. A list of current research grants and current applications for funding, from all sources (additional to one page statement of track record).
3. CI track record can include a statement on “relative to opportunity” if necessary.

**2. Collaboration**

Please list key project collaborators (external)/associate investigators and their institutional affiliation.

**3. Grant Specific Criteria**

**3.1. Project Description – Summary (500 words max)**

*Short summary of the project in lay terms including description, aims of the project and how this grant will advance your research to generate industry interest or attract further funding towards a commercial outcome*

**3.2. Core Invention, Discovery or Innovation (500 words max)**

*What is the core invention, discovery or innovation? What is the likely product or service from this research program?*

**3.3. Research and Innovation (1200 words max)**

*Describe the research this grant will support and how it relates to advancing the innovation (e.g. validation, prototype development, drug screening etc). Outline the key milestones and estimated timelines.*

**3.4. Development Stage (300 words max)**

*At what stage of development is the project? What are the next stages in development? Who are the likely development partners (commercial and academic)?*

**3.5. Market (300 words max)**

*What is the market for your invention? Including: (i) who will use or buy the service? (ii) how big is the market? (iii) is there any competition? What is the competitive advantage of this innovation?*
3.6. Current patents (200 words max)
Have you patented any aspects of the invention?

3.7. Public disclosure (200 words max)
Have you publicly disclosed any details of the research? If not, when are you likely to disclose (i.e. publications, seminars, conference abstracts)?

3.8. Team commercialisation experience (200 words max)
Describe the experience of the team in commercialising and translating innovation, and any additional support and resources available.

4. Support for this Project
Have you applied for support for this project from?

- ARC  □ Yes  □ No
- NHMRC  □ Yes  □ No
- CMN  □ Yes  □ No
- UoN  □ Yes  □ No
- Other  □ Yes  □ No

Details:

5. Commencement, Completion and Location Details

5.1. Has this project started?  □ Yes  □ No
If Yes, what was the start date?  ________  What is the end date?  ________
If No, when will it start?  ________

5.2. How long will this project take?  ________

5.3. Progress Report - If this application is for a project that has already commenced, please attach a brief (no more than one (1) A4 page) report on progress and outcomes to date.
6. Declaration and Signatures

6.1. Applicant’s Declaration

I certify that all the details in this application are correct and I agree to be a CI on this application. (Electronic signatures are acceptable as email submission must CC all CIs).

Signature of all Chief Investigators

_________________________________________  ______________________________
Signature    CI#1                     Date

_________________________________________
Name (please print)

_________________________________________  ______________________________
Signature    CI#2                     Date

_________________________________________
Name (please print)

*Add extra CIs as required by copying and pasting section

6.2. Certification by University of Newcastle Head of School

I certify that the project can be accommodated within the general facilities in my School/Hospital and that sufficient working and office space is available for any proposed additional staff. I am prepared to have the project carried out in my School/Hospital/Laboratory under the circumstances set out by the applicant.

I have noted the amount of time which the investigators will be devoting to the project and certify that it is compatible with other existing workloads.

I agree to adhere to current University of Newcastle policies regarding the recovery of any debit balance relating to this grant.

_________________________________________  ______________________________
Signature                     Date

_________________________________________
UoN Head of School                  Position held
(please print)
INCLUDE THIS PAGE AS THE LAST PAGE OF YOUR APPLICATION

CHECKLIST

SUPPORTING DOCUMENTS TO BE SUBMITTED WITH THE APPLICATION FORM
Please attach all additional papers to the end of this application

<table>
<thead>
<tr>
<th>Document</th>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>Chief Investigator(s) Short Track Record</td>
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<td>Evidence attached specifying year PhD awarded (Section 1)</td>
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<td>Grant specific questions answered</td>
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<td>Each subsection within word limit (Section 3)</td>
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<td>Progress report attached (Section 5)</td>
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<td>Certification</td>
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END OF APPLICATION